



APP-105

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

YU ET AL.

Serial No.: 10/630,867

Filed: July 31, 2003

For: SYSTEM AND METHOD FOR
PROVIDING VISUAL PROOF OF
ELECTRONIC TRANSACTIONS

Art Unit: 3624

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. APP-105 and proceed to consider this Information Disclosure Statement.

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Serial No.: 10/630,867
Art Unit: 3624

Attorney's Docket No.: APP-105
Page 2

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

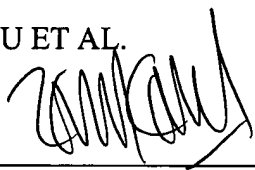
SHAW PITTMAN LLP
1650 Tysons Boulevard
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Tel: 703/770-7696

Date: November 3, 2003

Respectfully submitted,

YU ET AL.

By:

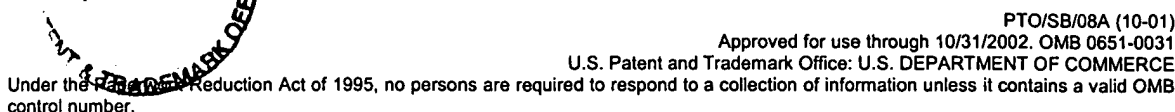

Poh C. Chua

Registration No. 44,615



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PATENT TRADEMARK OFFICE

**Complete if Known**

(use as many sheets as necessary)

Application Number	10/630,867
Filing Date	7/31/03
First Named Inventor	Yu et al.
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	APP-105

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Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/630,867
		Filing Date	7/31/03
		First Named Inventor	Yu et al.
		Group Art Unit	3624
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	APP-105
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Schulte et al. "Huge Credit Scheme Alleged", The Washington Post, Thursday May 8, 2003.	<input type="checkbox"/>
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Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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